



EXPRESS MAIL LABEL NO.: EV485808998US

Form PTO-1449

Sheet 1 of 3

Applicant: Takayuki Mizuno, et al.

Serial No.: 10/727,171

Att'y Docket No.: 14321.60

Filing Date: December 3, 2003

Group: 3663

Confirmation No.: 5976

For: OPTICAL MULTI/DEMULTIPLEXING CIRCUIT EQUIPPED WITH
PHASE GENERATING DEVICE

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Publ./Issue Date</u>	<u>Name</u>	<u>Filing Date</u>
<u>TDJ</u> A1.	2002/0172447	11/21/2002	MacDonald, et al	5/20/2002
<u>TPJ</u> A2.	2002/0044712	4/18/2002	Hung	5/29/2001

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publ. Date</u>	<u>Country or Patent Office</u>	<u>Sub Class</u>	<u>Class</u>	<u>Trans- lation</u>
<u>TDJ</u> A3.	EP 0382461	02/06/1990	Europe	_____	_____	no
<u>TDJ</u> A4.	EP1231490	02/06/2002	Europe	_____	_____	no
<u>TDJ</u> A5.	EP1227359	01/25/2002	Europe	_____	_____	no
<u>TDJ</u> A6.	EP1168010	6/26/2001	Europe	_____	_____	no

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Date Considered: 6-8-05

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Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

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Oguma, M. Ed, *Compact and High Performance Waveguide – Type Interleave Filters for WDM Networks*, Planar Lightwave Circuits 1.2.1

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While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

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INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
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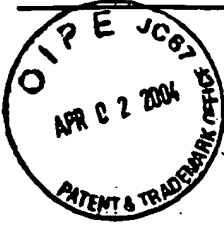
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Examiner Initial*	Document Number	Issue Date	Name
JPS 1	5,488,680	01/30/1996	Dragone

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JPS 3	M. Oguma et al., <i>Passband-Width Broadening Design for WDM Filter with Lattice-Form Interleave Filter and Arrayed-Waveguide Gratings</i> , IEEE Photonics Technology Letters, Vol. 14, No. 3, March 2002, pp. 328-330.
JPS 4	J. De Merlier et al., <i>All-Optical 2R Regeneration Based on Integrated Asymmetric Mach-Zehnder Interferometer Incorporating MMI-SOA</i> , Electronics Letters, Vol. 38, No. 5, February 28, 2002, pp. 238-239.
JPS 5	Y. Hashizume et al., <i>Integrated Polarisation Beam Splitter Using Waveguide Birefringence Dependence on Waveguide Core Width</i> , Electronics Letters, Vol. 37, No. 25, December 6, 2001, pp. 1517-1518.

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- TD 12 M. Oguma, et al., *Compactly Folded Waveguide-Type Interleave Filter with Stabilized Couplers*, OFC, March 19, 2002, TuK3, pp. 70-72.
- TD 13 M. Kawachi, *Silica Waveguides on Silicon and their Application on Integrated-Optic Components*, Optical and Quantum Electronics, Vol. 22, 1990, pp. 391-416.

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